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JC966 U.S. PTO

09-19-01

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application Transmittal

Assistant Commissioner for Patents  
Washington, D.C. 20231

JC971 U.S. PTO  
09/954812  
09/17/01

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: James A. Hagan, et al.

For: **EDGE FINISHING PROCESS FOR GLASS OR CERAMIC DISKS USED IN  
DISK DRIVE DATA STORAGE DEVICES**

**ASSIGNEE NAME: International Business Machines Corporation**

**ASSIGNEE RESIDENCE: Armonk, New York**

Enclosed are:

- ☒ 31 Pages of Specification and 1 Abstract
- ☒ 8 Sheets of Drawings
- ☒ A Declaration and Power of Attorney
- ☒ An Information Disclosure Statement and form PTO-1449
- ☐ A certified copy of
- ☒ An Assignment of the invention to International Business Machines Corporation, Armonk, New York 10504 and Recordation form PTO-1595

The filing fee has been calculated as follows:

For:	No. Filed	No. Extra
Basic Fee		
Total Claims	43 -20 =	23
Indep. Claims	7 -3 =	4
<input type="checkbox"/> Multiple Dependent Claim Presented		

Other Than Small Entity

Rate	Fee
	\$ 710.00
x \$18.00=	414.00
x \$80.00=	320.00
\$270.00	\$ 0.00
TOTAL	\$ 1444.00

EXPRESS MAIL CERTIFICATE

Express Mail Label No.: EJ899953333US

Date: September 17, 2001

I hereby certify that I am depositing the enclosed or attached paper with the U.S. Postal Service "Express Mail Post Office to Addressee" service on the above date, addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

*James R. Nock*  
James R. Nock

Patent Application Transmittal  
Attorney Docket No.: ROC9-2001-0062-US1

Deposit Account Authorization:

- ☒ Please charge Deposit Account No. 09-0465 in the amount of \$1444.00. A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0465. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. §1.16.
- ☒ Any patent application processing fees under 37 C.F.R. §1.17.

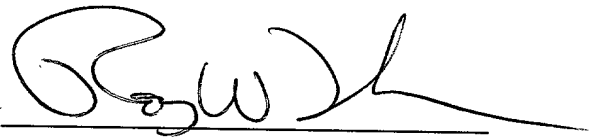
Respectfully submitted,

JAMES A. HAGAN, et al.

Date: September 17, 2001

IBM Corporation  
Intellectual Property Law, Dept. 917  
3605 Highway 52 North  
Rochester, MN 55901

By



Roy W. Truelson  
Registration No.: 34,265

(507) 289-6256 voice/fax